Exhibit D

FAX NO.: 9789700434

Ort. 23 2006 01:08PM P5



TRIAL COURT CHARLESTOWN DISTRICT COURT

3 CITY SQUARE

CHARLESTOWN, MASSACHUSETTS 02129

October 18, 2006

William Lisano, Clerk Magistrate Lowell District Court 41 Hurd Street Lowell, MA 01852

Re: Commonwealth v. Mercado, 9411 CR 2750 & 9411 CR 2855

Dear Clerk Magistrate Lisano:

I enclose allowed motion for a new trial regarding the above-captioned matter. Please place this matter on the trial list and notify defendant's counsel and the District Attorney's Office of this decision.

Respectfully,

Allen J.

Justice

cc: Daniel Murphy, Esquire

Enclosures

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COMMONWEALTH OF MASSACHUSETTS

MIDDLESEX, 88

DISTRICT COURT DEPARTMENT

No.: 94 11 CR 2750 &

94 11 CR 2855

COMMONWEALTH

٧.

ELVING MERCADO

MOTION FOR A NEW TRIAL <u>&</u> MOTION FOR RECONSIDERATION OF MOTION FOR A NEW TRIAL

MOTION FOR A NEW TRIAL (MOTIONS TO WITHDRAW GUILTY PLEAS)

Now comes the defendant, in the above-entitled action, and respectfully moves this Court to reconsider its ruling of January 29, 2003 and subsequent related rulings or to grant this new motion to allow him to withdraw his prior admissions and pleas to each count of the two above referenced Complaints, and that he be granted either a new trial pursuant to Rule 30 and Rule 12, of Mass.R. Crim.P., the Fifth, Sixth, and Fourteenth Amendments to the United States Constitution, and Article XII and XIV of the Massachusetts Declaration of Rights, or that one or both of the above captioned complaints be dismissed, set aside, filed, or merged with the other, in the interests of serving justice.

. In support of his motion the defendant avers that:

- (1) The guilty pleas in the above-entitled complaints were not entered knowingly and intelligently,
- (2) The two guilty pleas resulted from two drug cases occurring within several days of each other at a time when the defendant was seventeen (17) years old, and suffering from diagnosed mental illness, he had ceased treatment, and was addicted to heroin;

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(2) At the time that the pleas were entered in the above cases the defendant was suffering from mental illnesses that were not brought to the attention of the Court and which prevented him from making a truly voluntary and knowing plea;

- (3) Furthermore, the defendant didn't understand or appreciate that he was pleading guilty to two separate complaints, and instead thought he was resolving one case;
- (4) The defendant's pleas were invalidly entered under Rule 12 of the Massachusetts Rules of Criminal Procedure, as there was only one Waiver of Jury Trial filed in these two matters, without a docket number;
- (5) The defendant did not understand and appreciate the specific constitutional rights that he was relinquishing in regard to the above two complaints;
- (6) The defendant's trial counsel was ineffective in failing to investigate and address the mental illness issues, by failing to advise him of his specific constitutional rights and nature of the overall plea agreement; and/or
- (7) The charges in the complaints lodged by the Commonwealth are "fatally flawed" under the United States Supreme Court ruling of Apprendi v. New Jersey, 530 U.S. 466, 488-492 (2000) in conjunction with Blakely v. Washington, 542 U.S. 296 (2004), 111 Wash. App. 851 (2004), where neither the amount nor the quantity of the drugs were specifically outlined by the Commonwealth.

Newly contemplated medical (mental health) records, new affidavits, and recent caselaw indicate that granting this motion would be necessary to serve the interests of justice under all of the circumstances.

The defendant's Motion is based upon the attached Memorandum of Law in Support of Motion for New Trial and the Appendix (with Exhibits A-C & Affidavits I-IV contained therein), filed concurrently herewith.

WHEREFORE, the defendant prays that this Honorable Court order that he be afforded a new trial, or other reasonable relief as requested herein, or as the Court deems just.

Case 1:04-cv-11653-PBS

Document 33-5

Filed 12/01/2006

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The forgoing is sworn to be true to the best of my knowledge information and belief:

Respectfully submitted, ELVING MERCADO By his Attorney,

79 Merrimack Street, Vuite 300

Lowell, Massachusett 01852

BBO# 630726

REQUEST FOR HEARING ON MOTION

To:

Middlesex County District Attorney's Office 151 Warren Street, Lowell, MA 01852

Please take Notice that the undersigned requests a hearing the within Motion for New Trial, before the Lowell District Court, holden at 41 Hurd Street, Lowell, Massachusetts, at some other reasonable location, date and time convenient to the Court and the Parties.

Dated: Detober 14, 2006 -

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true copy of the within Motion For New Trial has been served upon the Commonwealth, by delivering same by mail first class postage prepaid to:

> Middlesex County District Attorney's Office 151 Warren Street, Lowell, MA 01852

Dated:) the 12, 2006

Daniel J. M